

DT05 Rec'd PCT/PT0 2 2 DEC 2004 tty. Dkt. No. 016906-0359

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant:

Daniel HENDRIX et al.

Title:

STACKED PANEL-SHAPED

**HEAT TRANSMITTER** 

Appl. No.:

Unassigned

Filing Date:

DEC 2 2 2004

Examiner:

Unassigned

Art Unit:

Unassigned

# INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §1.56

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Submitted herewith on Form PTO/SB/08 is a listing of documents known to Applicants in order to comply with Applicants' duty of disclosure pursuant to 37 CFR §1.56. A copy of each listed document, except as noted below, is being submitted to comply with the provisions of 37 CFR §1.97 and §1.98.

The USPTO has waived the requirement under 37 CFR 1.98(a)(2)(i) to submit copies of U.S. patents and U.S. patent application publications when citing and submitting an Information Disclosure Statements in a patent application filed after June 30, 2003 and in an international application that has entered the national stage under 37 USC §371 after June 30, 2003. Accordingly, copies of these types of documents are not being supplied in connection with this application. Reference is being made to OG Notice dated August 5, 2003, Information Disclosure Statements May Be Filed Without Copies of U.S. Patents and Published Applications in Patent Applications filed after June 30, 2003.

The submission of any document herewith, which is not a statutory bar, is not intended as an admission that such document constitutes prior art against the claims of the present application or that such document is considered material to patentability as defined in 37 CFR §1.56(b). Applicants do not waive any rights to take any action which would be appropriate to antedate or otherwise remove as a competent reference any document which is determined to be a *prima facie* art reference against the claims of the present application.

## TIMING OF THE DISCLOSURE

The listed documents are being submitted in compliance with 37 CFR §1.97(b), within three (3) months of the date of entry of the national stage as set forth in 37 CFR §1.491.

### RELEVANCE OF EACH DOCUMENT

The relevance of documents A3-A4 is described in the present specification.

Document A5-A8 listed on the attached PTO/SB/08 was cited as being relevant during the prosecution of the corresponding International application. Copies of the documents are not being provided since copies should have been provided directly by WIPO under an exchange program between the PTO, the EPO and the JPO. A copy of the International Search Report setting forth the portion of each reference considered relevant by the examiner is attached.

Applicants wish to bring to the Examiner's attention that DE 195 11 991 (cite no. A8), cited in the European Search Report, is also cited in the specification.

Documents A1 and A8 claim priority based on the same first-filed application.

Documents A2 and A4 claim priority based on the same first-filed application.

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English translations of the foreign-language documents are not readily available. However, English language abstracts are provided herewith. Consequently, the foreign language documents have been submitted in compliance with the PTO requirements and, therefore, the documents should be considered by the Examiner (37 CFR §1.97, §1.98 and MPEP §609).

Applicants respectfully request that any listed document be considered by the Examiner and be made of record in the present application and that an initialed copy of Form PTO/SB/08 be returned in accordance with MPEP §609.

The Commissioner is hereby authorized to charge any additional fees which may be required regarding this application under 37 CFR §§ 1.16-1.17, or credit any overpayment, to Deposit Account No. 19-0741. Should no proper payment be enclosed herewith, as by a check being in the wrong amount, unsigned, post-dated, otherwise improper or informal or even entirely missing, the Commissioner is authorized to charge the unpaid amount to Deposit Account No. 19-0741.

Respectfully submitted,

Date DEC 2 2 2004

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90/518708

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Date Submitted:

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2 2 UEC 2000 **Application Number** Unassigned DEC 2 **Filing Date First Named Inventor** DEC 2 2 20 Group Art Unit Examiner Name Unassigned Unassigned Attorney Docket Number 016906-0359

				U.S. PATENT DOCUMENTS	6	
Examiner Initials*	Cite No.1	U.S. Patent Document		Name of Patentee or Applicant of Cited Document	Date of Publication of	Pages, Columns, Lines, Where Relevant
		Number Code <sup>2</sup> (if known)			Cited Document MM-DD-YYYY	Passages or Relevant Figures Appear
	A1	5,931,219		KULL et al.	08-03-1999	
	A2	6,182,746	B1	WIESE	02-06-2001	
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		Ι			DREIGN PATENT DOCUMEN	Date of Publication of	Pages, Columns, Lines,	1
Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document			Name of Patentee or	Cited Document	Where Relevant	
		Office <sup>3</sup>	<sup>3</sup> Number⁴	Kind Code <sup>5</sup> (if known)	Applicant of Cited Documents	MM-DD-YYYY	Passages or Relevant Figures Appear	T <sup>6</sup>
	A3	DE	43 14 808	A1	BEHR GMBH & CO.	11-10-1994		Abs.
	A4	DE	197 50 748	A1	BEHR GMBH & CO.	07-15-1999		Abs.
	A5	wo	86/05866	A1	TORELL AB	10-09-1986		
	A6	EP	1 211 473	. A2	DENSO CORPORATION	06-05-2002		
	A7	JP	61-83883	Α	HISAKA WORKS LTD.	04-28-1986		
	A8	DE	195 11 991	A1	BEHR GMBH & CO.	10-02-1996		
<u> </u>								

NON PATENT LITERATURE DOCUMENTS					
Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>6</sup>			
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		Cite item (book, magazine, journal, serial, symposium, catalog, etc.) date, page(s), volume-issue number(s),			

Examiner	Date Considered	
Signature	Considered	

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

<sup>1</sup> Unique citation designation number. 2See attached Kinds of U.S. Patent Documents. 3Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. Skind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. Applicant is to place a check mark here if English language Translation is attached.